Carter Family Office

Private Placement & Managed Buy-Sell Program Overview

Institutional Strategies for Sophisticated Capital

V1.3

🔷 Introduction

At Carter Family Office, we operate with a long-term, strategy-first mindset—refining and evolving our capital approach to meet the demands of sophisticated investors in an increasingly complex financial landscape.

Private Placement Programs (PPP), also known as Managed Buy-Sell Programs, represent one of the most discreet, high-integrity methods of capital growth for qualified parties.

These are **not advertised**, **not public**, and require **structured onboarding**, **non-solicitation**, and **absolute trust**.

This document serves as a general overview of such opportunities across **small**, **medium**, and **large cap** tiers.

🔷 Understanding PPP & Managed Buy-Sell Programs

Private Placement and Buy-Sell Programs involve the **controlled, contracted purchase and resale of bank instruments or commodities**, carried out through established trade platforms.

Executed under strict compliance guidelines and bank-to-bank frameworks, these programs allow eligible capital holders to **engage in structured, off-market cycles** with calculated margins.

Access to these programs is extremely limited.
Most legitimate platforms operate entirely off-market and do not accept unsolicited applications or inquiries. Without a trusted relationship and transparent onboarding, entry is virtually impossible.

At Carter & Co., we operate **by private introduction only**, through relationships that have been cultivated over time through **discretion, integrity, and strategic alignment**

Our role is not transactional—we aim to build mutual understanding and long-term trust between the parties involved. We are **not brokers** and **do not handle funds**. Instead, we act as **facilitators, participants, and trusted affiliates** who introduce capital holders to verified, discretionary trade environments.

🔷 Capital Access Tiers

We are able to facilitate introductions into **three general program classes**, based on capital size:

- **Small Cap Programs (£1M–£9M)**
  Short-term cycles or structured entry into qualified trade models.
  Often requires pooled access or structured alignment with counterparties.
- **Medium Cap Programs (£10M–£99M)**
  Discretionary access to mid-sized trade platforms, often involving administrative hold or managed accounts.
- **Large Cap Programs (£100M+)**
  Access to high-level SPOT trade programs, typically with top-tier banks.
  Non-depletion or hold structures. Daily or weekly cycles.
  Requires full compliance package (CIS/KYC, LOI, PPPFA).

🔷 Key Features (Indicative Only)

- **Non-Depletion Structures**
  Capital remains secured in top-tier bank accounts. Trade leverages administrative holds.

- **High Trust Environments**
  All counterparties are verified. No upfront transfer of funds. Clear compliance procedures.
- **Discretionary Cycles**
  Trades may be rollable daily, weekly, or monthly depending on capital tier.
- **Expected Profitability**
  Returns vary by structure and engagement. Indicative returns may be referenced privately after full onboarding.
- **Project Allocation Requirement**
  Some trade programs may require a portion of profits to be reinvested into qualified projects.
  This is determined at platform level and disclosed during onboarding.

🔷 Our Role

Carter & Co. is not FCA regulated and does not offer financial advice. We act as **facilitators, participants, and strategic arrangers** where appropriate, based on long-standing affiliations with trusted providers.

We do **not operate as brokers**, and we require full transparency with any introducers. Direct access to decision-making clients is essential.

We assess every opportunity on a private, case-by-case basis, and only move forward where mutual alignment, trust, and intent are clear.

🔷 Eligibility & Next Steps

These opportunities are for **serious capital holders** or trusted introducers with direct client access.

We encourage discretion, patience, and preparedness. If aligned, we invite you to begin the process by submitting our secure form: → **Submit Your Interest**

https://www.thecarterfamilyoffice.com

Upon receipt, our team will review and respond with next steps, including documentation required for private onboarding.

Legal Notice
